

# COVER SHEET

A	1	9	9	9	1	0	0	6	5
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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

Amended Articles Number/Section

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Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**FORM 23-B**

REVISÉ

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Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol			3. Relationship of Reporting Person to Issuer (Check all applicable)				
LEE EDWARD K.		COL Financial Group, Inc. ("COL")							
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year	<input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer <input type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below)				
(Street)			4. Citizenship	Jan-25 3 Original (Month/Year)	Chairman and Founder				
(Province) (Postal Code)			Table 1 - Equity Securities Beneficially Owned						
1. Class of Equity Security		2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
						%	Number of Shares		
Common shares			Amount	(A) or (D)	Price	13.08%	778,125,000	D	N/A
						8.66%	515,368,750	I	Thru PCD / shares held by member/s of a person's immediate family sharing the same household / held by a corporation of which such person is a controlling shareholder
		03-Jan-25	31,000	A	PHP 1.65	8.66%	515,399,750	I	
		03-Jan-25	4,000	A	PHP 1.66	8.66%	515,403,750	I	
		03-Jan-25	2,000	A	PHP 1.67	8.66%	515,405,750	I	
		24-Jan-25	20,000	A	PHP 1.60	8.66%	515,425,750	I	
		24-Jan-25	5,000	A	PHP 1.65	8.66%	515,430,750	I	
		24-Jan-25	10,000	A	PHP 1.66	8.66%	515,440,750	I	
		24-Jan-25	15,000	A	PHP 1.67	8.66%	515,455,750	I	
		31-Jan-25	6,000	A	PHP 1.60	8.66%	515,461,750	I	
		31-Jan-25	5,000	A	PHP 1.61	8.66%	515,466,750	I	

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B** (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)  
**EDWARD K. LEE**

02.07.2025  
Date

## REVISÉ

☐ Check box if no longer subject to filing requirement[illegible]

(Print or Type Responses)

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  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Owner-ship Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)

REA P. ORTEZA

02.07.2025

Date

# COVER SHEET

A	1	9	9	9	1	0	0	6	5
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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

Amended Articles Number/Section

--	--	--

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol		3. Relationship of Reporting Person to Issuer (Check all applicable)				
LEE EDWARD K.		COL Financial Group, Inc. ("COL")						
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year				
				Feb-25				
(Street)		4. Citizenship	3 Original (Month/Year)					
(Province)		(Postal Code)	Chairman and Founder					
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares		Amount	(A) or (D)	Price			D	N/A
					13.08%	778,125,000		
						395,519,250	I	Held Thru PCD
	03-Feb-25	12,000	A	PHP 1.58	6.65%	395,531,250	I	Held Thru PCD
	04-Feb-25	5,000	A	PHP 1.58	6.65%	395,536,250	I	Held Thru PCD
	06-Feb-25	13,000	A	PHP 1.58	6.65%	395,549,250	I	Held Thru PCD
	07-Feb-25	20,000	A	PHP 1.55	6.65%	395,569,250	I	Held Thru PCD
	07-Feb-25	20,000	A	PHP 1.56	6.65%	395,589,250	I	Held Thru PCD
	07-Feb-25	20,000	A	PHP 1.57	6.65%	395,609,250	I	Held Thru PCD
	21-Feb-25	6,000	A	PHP 1.60	6.65%	395,615,250	I	Held Thru PCD
	21-Feb-25	34,000	A	PHP 1.61	6.65%	395,649,250	I	Held Thru PCD
					1.21%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.54%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.53%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.25%	11,918,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

- (A) held by members of a person's immediate family sharing the same household;  
(B) held by a partnership in which such person is a general partner;  
(C) held by a corporation of which such person is a controlling shareholder; or  
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B (continued)**


**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)**

[illegible]

**Explanation of Responses:**

**Note:** File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

  
**EDWARD K. LEE**

03.07.2025

Date \_\_\_\_\_



# COVER SHEET

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S.E.C. Registration Number

[illegible]

(Company's Full Name)

[illegible]

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

### Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

\_\_\_\_\_

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

\_\_\_\_\_

Amended Articles Number/Section

\_\_\_\_\_

Total Number of Stockholders

### Total Amount of Borrowings

\_\_\_\_\_

Domestic

none
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none

Foreign

To be accomplished by SEC Personnel concerned

[illegible]

File Number

LCU

[illegible]

Document I.D.

Cashier

STAMPS

## STAMPS

Remarks = pls. Use black ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION**  
**Metro Manila, Philippines**

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person <b>LEE EDWARD K.</b>			2. Issuer Name and Trading Symbol <b>COL Financial Group, Inc. ("COL")</b>			7. Relationship of Reporting Person to Issuer (Check all applicable)		
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year <b>Mar-25</b>		<input checked="" type="checkbox"/>	Director Officer (give title below)	<input checked="" type="checkbox"/>
(Street)			4. Citizenship	3 Original (Month/Year)		10% Owner Other (specify below) <b>Chairman and Founder</b>		
(Province) (Postal Code)								
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares					13.08%	778,125,000	D	N/A
						395,649,250	I	Held Thru PCD
	05-Mar-25	202,000	A	PHP 1.60	6.65%	395,851,250	I	Held Thru PCD
					1.21%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.54%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.53%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.25%	11,918,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Owner-ship Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)

EDWARD K. LEE

04.04.2025

Date

# COVER SHEET

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S.E.C. Registration Number

[illegible]

(Company's Full Name)

[illegible]

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

### Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

\_\_\_\_\_

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

\_\_\_\_\_

Amended Articles Number/Section

\_\_\_\_\_

Total Number of Stockholders

### Total Amount of Borrowings

\_\_\_\_\_

Domestic

none
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none

Foreign

To be accomplished by SEC Personnel concerned

[illegible]

File Number

LCU

[illegible]

Document I.D.

Cashier

STAMPS

## STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person			2. Issuer Name and Trading Symbol			7. Relationship of Reporting Person to Issuer (Check all applicable)		
LEE EDWARD K.			COL Financial Group, Inc. ("COL")					
(Last)	(First)	(Middle)	3. Tax Identification Number		5. Statement for Month/Year			
					Apr-25			
(Street)			4. Citizenship		3 Original (Month/Year)			
(Province)			(Postal Code)					
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares		Amount	(A) or (D)	Price			D	N/A
						395,851,250	I	Held Thru PCD
	03-Apr-25	11,000	A	PHP 1.62	6.65%	395,862,250	I	Held Thru PCD
	03-Apr-25	17,000	A	PHP 1.63	6.65%	395,879,250	I	Held Thru PCD
					1.21%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.54%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.53%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.25%	11,918,750	I	held by a corporation of which such person is a controlling shareholder

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			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

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(originally signed)

EDWARD K. LEE

05.08.2025

Date

# COVER SHEET

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S.E.C. Registration Number

[illegible]

(Company's Full Name)

[illegible]

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

### Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

\_\_\_\_\_

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

\_\_\_\_\_

Amended Articles Number/Section

\_\_\_\_\_

Total Number of Stockholders

### Total Amount of Borrowings

\_\_\_\_\_

Domestic

none
------

none

Foreign

To be accomplished by SEC Personnel concerned

[illegible]

File Number

LCU

[illegible]

Document I.D.

Cashier

STAMPS

## STAMPS

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SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person <b>LEE EDWARD K.</b> (Last) (First) (Middle) (Street) (Province) (Postal Code)			2. Issuer Name and Trading Symbol <b>COL Financial Group, Inc. ("COL")</b>			7. Relationship of Reporting Person to Issuer (Check all applicable)  <input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer (give title below)  <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below) <b>Chairman and Founder</b>		
3. Tax Identification Number			5. Statement for Month/Year <b>May-25</b>					
4. Citizenship			3 Original (Month/Year)					
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)  Amount (A) or (D) Price			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
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						395,879,250	I	Held Thru PCD
	02-May-25	40,000	A	PHP 1.60	6.65%	395,919,250	I	Held Thru PCD
	02-May-25	2,000	A	PHP 1.65	6.65%	395,921,250	I	Held Thru PCD
					1.21%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.54%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.53%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.25%	11,918,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - held by members of a person's immediate family sharing the same household;
  - held by a partnership in which such person is a general partner;
  - held by a corporation of which such person is a controlling shareholder; or
  - subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.



Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Owner-ship Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)

EDWARD K. LEE

06.02.2025

Date

# COVER SHEET

A	1	9	9	9	1	0	0	6	5
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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
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Contact Person

8636-5411
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Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

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Total Number of Stockholders

--	--

Total Amount of Borrowings

Domestic	Foreign
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To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS
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Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol			7. Relationship of Reporting Person to Issuer			
LEE EDWARD K.		COL Financial Group, Inc. ("COL")			(Check all applicable)			
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year	Director 10% Owner			
				Jun-25	Chairman and Founder			
(Street)			4. Citizenship	3 Original (Month/Year)				
(Province) (Postal Code)			Table 1 - Equity Securities Beneficially Owned					
1. Class of Equity Security		2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)		3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Price	%	Number of Shares	
Common shares						13.08%	778,125,000	N/A
			Beginning Balance			6.65%	395,921,250	
		05-Jun-25	3,892,000	A	PHP 1.55	6.72%	399,813,250	Held Thru PCD
		13-Jun-25	3,000	A	PHP 1.53	6.72%	399,816,250	Held Thru PCD
		13-Jun-25	4,000	A	PHP 1.54	6.72%	399,820,250	Held Thru PCD
		13-Jun-25	1,000	A	PHP 1.55	6.72%	399,821,250	Held Thru PCD
		13-Jun-25	1,000	A	PHP 1.56	6.72%	399,822,250	Held Thru PCD
		13-Jun-25	5,000	A	PHP 1.57	6.72%	399,827,250	Held Thru PCD
		17-Jun-25	1,000	A	PHP 1.53	6.72%	399,828,250	Held Thru PCD
		17-Jun-25	1,000	A	PHP 1.54	6.72%	399,829,250	Held Thru PCD
		17-Jun-25	4,000	A	PHP 1.55	6.72%	399,833,250	Held Thru PCD
		19-Jun-25	5,000	A	PHP 1.49	6.72%	399,838,250	Held Thru PCD
		19-Jun-25	16,000	A	PHP 1.50	6.72%	399,854,250	Held Thru PCD
		19-Jun-25	2,000	A	PHP 1.51	6.72%	399,856,250	Held Thru PCD
		19-Jun-25	6,000	A	PHP 1.52	6.72%	399,862,250	Held Thru PCD
		26-Jun-25	12,000	A	PHP 1.50	6.72%	399,874,250	Held Thru PCD

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐

Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol			7. Relationship of Reporting Person to Issuer		
LEE EDWARD K.		COL Financial Group, Inc. ("COL")			(Check all applicable)		
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year	<input checked="" type="checkbox"/>	Director Officer (give title below)	<input checked="" type="checkbox"/> 10% Owner Other (specify below)
(Street)			4. Citizenship	Jun-25	Chairman and Founder		
(Province) (Postal Code)			3 Original (Month/Year)				
Table 1 - Equity Securities Beneficially Owned							
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month	4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares	
					0.97%	57,528,750	I held by member of a person's immediate family sharing the same household
					0.43%	25,500,000	I held by member of a person's immediate family sharing the same household
					0.42%	25,000,000	I held by member of a person's immediate family sharing the same household
		Beginning Balance			0.20%	11,918,750	I held by a corporation of which such person is a controlling shareholder
	05-Jun-25	3,695,000	D	PHP 1.55	0.14%	8,223,750	I held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
- (A) held by members of a person's immediate family sharing the same household;
- (B) held by a partnership in which such person is a general partner;
- (C) held by a corporation of which such person is a controlling shareholder; or
- (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B** (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)

**EDWARD K. LEE**

07.07.2025

Date

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

Amended Articles Number/Section

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Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS

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SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐

Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person			2. Issuer Name and Trading Symbol			7. Relationship of Reporting Person to Issuer		
LEE      EDWARD      K.			COL Financial Group, Inc. ("COL")			(Check all applicable)		
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year		10% Owner		
				Jul-25		Other (specify below)		
(Street)			4. Citizenship	3 Original (Month/Year)		Chairman and Founder		
(Province)      (Postal Code)								
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares		Amount	(A) or (D)	Price			D	N/A
					13.08%	778,125,000		
				Beginning Balance	6.72%	399,874,250	I	Held Thru PCD
	18-Jul-25	1,000	A	PHP 1.47	6.72%	399,875,250	I	Held Thru PCD
					0.97%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.43%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.42%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.14%	8,223,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
- (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B** (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)  
\_\_\_\_\_  
**EDWARD K. LEE**

08.07.2025  
\_\_\_\_\_  
Date



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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

Amended Articles Number/Section

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Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐

Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person			2. Issuer Name and Trading Symbol			7. Relationship of Reporting Person to Issuer		
LEE EDWARD K.			COL Financial Group, Inc. ("COL")			(Check all applicable)		
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year		10% Owner		
(Street)			4. Citizenship	Aug-25		Other (specify below)		
(Province) (Postal Code)			3 Original (Month/Year)		Chairman and Founder			
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares		Amount	(A) or (D)	Price	13.08%	778,125,000	D	N/A
				Beginning Balance	6.72%	399,875,250	I	Held Thru PCD
	04-Aug-25	19,000	A	PHP 1.47	6.72%	399,894,250	I	Held Thru PCD
	28-Aug-25	20,000	A	PHP 1.50	6.72%	399,914,250	I	Held Thru PCD
	28-Aug-25	1,000	A	PHP 1.52	6.72%	399,915,250	I	Held Thru PCD
					0.97%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.43%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.42%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.14%	8,223,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
- (A) held by members of a person's immediate family sharing the same household;
- (B) held by a partnership in which such person is a general partner;
- (C) held by a corporation of which such person is a controlling shareholder; or
- (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B** (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)  
\_\_\_\_\_  
**EDWARD K. LEE**

09.04.2025  
\_\_\_\_\_  
Date

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.						

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E		T	O	W	E	R	S	
E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S		C	E	N	T	E	R			
P	A	S	I	G		C	I	T	Y																					

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1	2	3	1
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Month Day  
Fiscal Year

SEC Form 23B

FORM TYPE

0	4		
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Month Day  
Annual Meeting

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

Amended Articles Number/Section

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Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol		7. Relationship of Reporting Person to Issuer (Check all applicable)				
LEE EDWARD K.		COL Financial Group, Inc. ("COL")						
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year Sep-25	10% Owner			
(Street)			4. Citizenship	3 Original (Month/Year)	Other (specify below)			
(Province) (Postal Code)			Chairman and Founder					
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
Common shares		Amount	(A) or (D)	Price	13.08%	778,125,000	D	N/A
		Beginning Balance			6.72%	399,915,250	I	Held Thru PCD
	04-Sep-25	889,000	A	PHP 1.52	6.74%	400,804,250	I	Held Thru PCD
	04-Sep-25	179,000	A	PHP 1.53	6.74%	400,983,250	I	Held Thru PCD
	09-Sep-25	91,000	A	PHP 1.46	6.74%	401,074,250	I	Held Thru PCD
	09-Sep-25	100,000	A	PHP 1.47	6.74%	401,174,250	I	Held Thru PCD
	09-Sep-25	100,000	A	PHP 1.48	6.74%	401,274,250	I	Held Thru PCD
	09-Sep-25	4,000	A	PHP 1.50	6.74%	401,278,250	I	Held Thru PCD
	09-Sep-25	133,000	A	PHP 1.51	6.75%	401,411,250	I	Held Thru PCD
	09-Sep-25	58,000	A	PHP 1.52	6.75%	401,469,250	I	Held Thru PCD
	12-Sep-25	9,000	A	PHP 1.46	6.75%	401,478,250	I	Held Thru PCD
	29-Sep-25	100,000	A	PHP 1.44	6.75%	401,578,250	I	Held Thru PCD
	29-Sep-25	100,000	A	PHP 1.45	6.75%	401,678,250	I	Held Thru PCD
					0.97%	57,528,750	I	held by member of a person's immediate family sharing the same household
					0.43%	25,500,000	I	held by member of a person's immediate family sharing the same household
					0.42%	25,000,000	I	held by member of a person's immediate family sharing the same household
					0.14%	8,223,750	I	held by a corporation of which such person is a controlling shareholder

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

- (A) held by members of a person's immediate family sharing the same household;  
(B) held by a partnership in which such person is a general partner;  
(C) held by a corporation of which such person is a controlling shareholder; or  
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B** (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

(originally signed)  
\_\_\_\_\_  
**EDWARD K. LEE**

10.06.2025  
\_\_\_\_\_  
Date